



NATIONAL ASSOCIATION OF MUNICIPAL ADVISORS

**Securities Exchange Commission (SEC) Exam Request
Cover Page for Each Response
[Insert Municipal Advisory Firm Name]**

Item Number: [insert exam request number here; e.g. 2]

Requested Information: [insert text from SEC request letter; e.g. For the Firm and each affiliated entity, please provide descriptions of each of the Firm's and the entities' businesses, descriptions of the types of business the affiliated entities transact with the Firm, and a list of control persons, officers, and shared employees.]

Item Category: [insert exam category here, e.g., general]

Additional Details: [insert details related to the information you are providing the SEC]

[Insert Municipal Advisory Firm Name] respectfully requests that this information be treated as confidential under the Freedom of Information Act.