

Conversation with the Municipal Securities Rulemaking Board



December 8, 2023

SPEAKERS

- **Mark Kim**

- President and Chief Executive Officer

- **Ernie Lanza**

- Chief Regulatory and Policy Officer

TOPICS

- General MSRB Update
- Market Transparency Update
- Market Regulation Update
- Questions

Resources

- MSRB Small Firm RFI

- <https://www.msrb.org/Press-Releases/MSRB-Seeks-Feedback-Impact-Municipal-Market-Regulation-Small-Firms>

- MSRB Rate Card Changes

- <https://www.msrb.org/Press-Releases/MSRB-Files-2024-Rate-Card-Dealers-and-Municipal-Advisors>

- MSRB FY 2024 Budget

- <https://www.msrb.org/Press-Releases/MSRB-FY-2024-Budget-Provides-Spotlight-Technology-Expenses-and-New-Rate-Setting>

- MSRB Strategic Plan

- <https://www.msrb.org/sites/default/files/MSRB-Strategic-Plan-2022-2025.pdf>